

EXPRESS MAIL NO. EL897874125US



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,304	10/15/2001	Andreas Gubner	130109.438

CONFIRMATION NO. 5009

00500
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC
701 FIFTH AVE
SUITE 6300
SEATTLE, WA 98104-7092



OC000000007071581

FORMALITIES LETTER



OC000000007071581

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/17/2002 NROCHA1 00000055 09981304

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740.00 OP
130.00 OP

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PRESS MAIL NO. EL897874125US
PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(To be used for all correspondence
after initial filing)

Application Number	09/981,304
Filing Date	October 15, 2001
First Named Inventor	Andreas Gubner, Ph.D.
Group Art Unit	3747
Examiner Name	
Attorney Docket No.	130109.438

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement; Form PTO-1449
<input type="checkbox"/> Cited References
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53
<input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Request for Corrected Filing Receipt
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address
<input checked="" type="checkbox"/> Declaration
<input checked="" type="checkbox"/> Statement under 37 CFR 3.73(b)
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) _____
<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <ul style="list-style-type: none"> <u>Application Data Sheet</u> <u>Copy of Assignment</u> <u>Copy of Notice to File Missing Parts</u> |
|--|--|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Karl R. Hermanns	 00500 <small>PATENT TRADEMARK OFFICE</small>
Signature		
Date	January 11, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name		
Signature		Date:

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FEE TRANSMITTAL for FY 2002

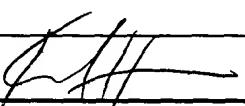
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) **870**

Complete If Known	
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METHOD OF PAYMENT					FEE CALCULATION (continued)																																																																																																																																																																																																
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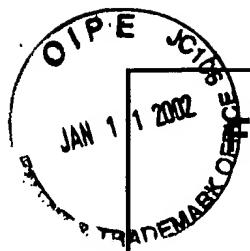
**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY			
Name (Print/Type)	Karl R. Hermanns	Registration No. Attorney/Agent)	33,507
Firm Name/ Address			
Signature		Date	January 11, 2002



00500

PATENT TRADEMARK OFFICE



PRESS MAIL NO. EL897874125US

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

FEETRANSMITTAL for FY 2002

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See 37 CFR 1.27. </td> <td colspan="5"></td> </tr> <tr> <th colspan="10">FEE CALCULATION</th> </tr> <tr> <th colspan="5">1. BASIC FILING FEE</th> <th colspan="5">2. 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ADDITIONAL FEES</th> </tr> <tr> <th colspan="5"> Total Claims 17 -20** = 0 * = Independent Claims 2 -3** = 0 * = Multiple Dependent * = </th> <th colspan="5"> Extra Claims Fee from below Fee Paid * = </th> </tr> <tr> <th colspan="5"> Large Entity Small Entity </th> <th colspan="5"> Fee Description </th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th colspan="5"></th> </tr> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td colspan="5">Claims in excess of 20</td> </tr> <tr> <td>102</td> <td>84</td> <td>202</td> <td>42</td> <td colspan="5">Independent claims in excess of 3</td> </tr> <tr> <td>104</td> <td>280</td> <td>204</td> <td>140</td> <td colspan="5">Multiple dependent claim, if not paid</td> </tr> <tr> <td>109</td> <td>84</td> <td>209</td> <td>42</td> <td colspan="5">** Reissue independent claims over original patent</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td colspan="5">** Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="5">SUBTOTAL (2)</td> <td colspan="5">(\$ 0)</td> </tr> </tbody> </table>					Large Entity		Small Entity		Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	105	130	205	65	Surcharge - late filing fee or oath	130	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for ex parte reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	400	216	200	Extension for reply within second month		117	920	217	460	Extension for reply within third month		118	1,440	218	720	Extension for reply within fourth month		128	1,960	228	980	Extension for reply within fifth month		119	320	219	160	Notice of Appeal		120	320	220	160	Filing a brief in support of an appeal		121	280	221	140	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive – unavoidable		141	1,280	241	640	Petition to revive – unintentional		142	1,280	242	640	Utility issue fee (or reissue)		143	460	243	230	Design issue fee		144	620	244	310	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	180	126	180	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	279	370	Request for Continued Examination (RCE)		169	900	169	900	Request for expedited examination of a design application		Other fee (specify) _____										*Reduced by Basic Filing Fee Paid					SUBTOTAL (3) (\$ 130)					The Commissioner is authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Charge any deficiencies to the above-identified deposit account.										<input type="checkbox"/> Applicant claims small entity status. 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SUBMITTED BY			
Name (Print/Type)	Karl R. Hermanns	Registration No. Attorney/Agent)	33,507
Firm Name/ Address			
Signature		Date	January 11, 2002
		 00500 PATENT TRADEMARK OFFICE	



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andreas Gubner, Ph.D.
 Application No. : 09/981,304
 Filed : October 15, 2001
 For : FUEL PROCESSING SYSTEM AND METHOD OF PURGING A
 FUEL PROCESSING SYSTEM

Art Unit : 3747
 Docket No. : 130109.438
 Date : January 11, 2002

Box Missing Parts
 Commissioner for Patents
 Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated November 14, 2001, please find enclosed the following documents:

- Fee
- Oath/Declaration
- Copy of Notice to File Missing Parts

Respectfully submitted,
 Andreas Gubner, Ph.D.
 Seed Intellectual Property Law Group PLLC


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